



Level 5
Ernst & Young Building
2 Takutai Square
Britomart, Auckland
PO Box 106 672
Auckland 1143

P: +64 9 300 0400
F: +64 9 300 0499

REQUEST FOR FURTHER TIME

COMPLAINT NO:

To: **The Registrar**
Financial Advisers Disciplinary Committee
PO Box 106 672
Auckland 1143
Email: registrar@fadc.govt.nz

AND

To: **Financial Markets Authority**

This document notifies you that [**name of Financial Adviser of address**](Financial Adviser),

who is required to file a Statement of Response by [**insert date**], _____ wishes to request further time for preparing the Statement of Response, in particular:

The following date is the proposed new date on which the Statement of Response will be filed:

The extension of time is sought for the following reasons: [**insert reasons**]

Signed: _____ **Date:** _____

Notes:

The relevant Notice of Complaint will show the date by which the Statement of Response must be filed or a notice requesting further time to file a Statement of Response must be filed. This notice must be filed by 5.00pm on the relevant date, with the Registrar. A copy of the notice must be served on FMA within such time.